



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Iijima, Y., et al.  
Appl. No.: 09/857,912  
Filed: June 11, 2001  
Title: POLYCRYSTALLINE THIN FILM AND METHOD OF PRODUCING THE  
SAME AND OXIDE SUPERCONDUCTOR AND METHOD OF PRODUCING  
THE SAME  
Art Unit: 1751  
Examiner: Unknown  
Docket No.: 113197-010

Assistant Commissioner for Patents  
Washington, DC 20231

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

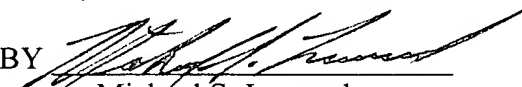
**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
11-053 967 A	February 26, 1999	Japan

Applicants submitted an Information Disclosure Statement with the filing of this patent application on June 11, 2001. The June 11 Information Disclosure Statement included Japanese patent document 11-053 967 A with an English language abstract. Applicants have now received an English translation of JP 11-053 967 A. This Supplement Information Disclosure Statement is being submitted to submit the English translation of JP 11-053-967A.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,  
BELL, BOYD & LLOYD LLC

BY 

Michael S. Leonard  
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Dated: July 31, 2002

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**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
113197-010 #5

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TC 1700

In Re Application of Iijima, Y., et al.

Serial No.  
09/857,912

Filing Date  
June 11, 2001

Examiner  
Unknown

Group Art Unit  
1751

Title:

**POLYCRYSTALLINE THIN FILM AND METHOD OF PRODUCING THE SAME AND OXIDE  
SUPERCONDUCTOR AND METHOD OF PRODUCING THE SAME**

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Transmittal of Information Disclosure Statement (duplicate); Supplemental Information Disclosure Statement (1 pg.); PTO Form 1449 (1 pg.); One Japanese Reference (11-053 967 A); One English Translation of Japanese Reference (11-053 967 A); return receipt postcard.

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in the above identified application.

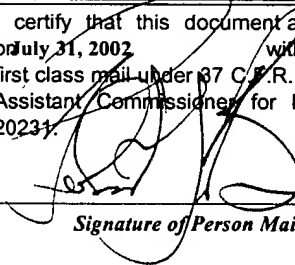
- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
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Signature

Dated: July 31, 2002

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Tel: 312/807-4270  
Fax: 312/827-1271

I certify that this document and fee is being deposited on July 31, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
	
Signature of Person Mailing Correspondence	
Robert J. Buccieri	
Typed or Printed Name of Person Mailing Correspondence	

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**Docket No.  
113197-010

In Re Application of:

Iijima, Y., et al.

Serial No.  
09/857,912Filing Date  
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UnknownGroup Art Unit  
1751

Title:

**POLYCRYSTALLINE THIN FILM AND METHOD OF PRODUCING THE SAME AND OXIDE SUPERCONDUCTOR AND METHOD OF PRODUCING THE SAME**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).COPY OF PAPERS  
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## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
113197-010

In Re Application

Iijima, Y., et al.

Serial No.

09/857,912

Filing Date

June 11, 2001

Examiner

Unknown

Group Art Unit

1751

POLYCRYSTALLINE THIN FILM AND METHOD OF PRODUCING THE SAME AND OXIDE  
AND METHOD OF PRODUCING THE SAME

## Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
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## Certificate of Transmission by Facsimile\*

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Signature of Person Mailing Correspondence

Robert J. Buccieri

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Signature

Michael S. Leonard (Reg. No. 37,557)

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